



Pat # 5883755

9200/2754

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Applicati n Number

09/895,466

Filing Date

June 29, 2001

First Named Inventor

Robin Budd

Group Art Unit

To be assigned

Examiner Name

To be assigned

Total Number of Pages in This Submission

4

Attorney Docket Number

EMC-00-066

ENCLOSURES (check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Response to Missing Parts/Incomplete Application



Response to Missing Parts under 37 CFR 1.52 or 1.53



Assignment Papers (for an Application)



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a Provisional Application



Power of Attorney, Revocation Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____



After Allowance Communication to Group



Appeal Communication to Board of Appeals and Interferences



Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please identify below):

4 Copies of cited references Form 1449 (2 pages)

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual nameEMC Corporation
By: Leanne J. Fitzgerald

Signature

Date

August 3, 2001

CERTIFICATE OF MAILING Section 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 08/03/2001

Typed or printed name

Patricia MacMunn

Signature

Date 08/03/01

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#2

PATENT
Atty Docket No. EMC-00-066

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robin Budd et al.

Examiner: To be assigned

Filing Date: June 9, 2001

Art Unit: To be assigned

Title: METHOD AND APPARATUS FOR PROVIDING CONTINUOUS
COMMUNICATIONS BETWEEN COMPUTERS

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:


Applicant submits this statement in accordance with the duty of disclosure under 37 C.F.R. § 1.56 and 1.97-1.98. This statement is filed in accordance with 37 C.F.R. 1.97(b)(1), before examination of the application on the merits.

Copies of the references together with a listing on form PTO 1449 are submitted herewith. Applicants respectfully solicit the Examiner's consideration of the cited references and entry thereof into the record of this application.

Applicants believe that no fees are due with this submission. However, if this is not the case, please charge any fees occasioned by this submission to Deposit Account 05-0889.

Respectfully submitted,

Dated: 8/3/01



Leanne J. Fitzgerald, Reg. No. 40,606
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/895,466
				Filing Date	06/29/2001
				First Named Inventor	Robin Budd
				Group Art Unit	To be assigned
				Examiner Name	To be assigned
				Attorney Docket Number	EMC-00-066
Sheet	1	of	2		

[illegible][illegible]

Examiner Signature		Date Considered	
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **2** of **2**

Complete if Known

Application Number	09/895,466
Filing Date	06/29/2001
First Named Inventor	Robin Budd
Group Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket Number	EMC-00-066



OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		INFORMATION SCIENCES INSTITUTE, "Internet Protocol DARPA Internet Program Protocol Specification", p. 49, (September 28, 1981).	
		3COM/MICROSOFT CORPORATION, "Network Driver Interface Specification", (October 5, 1990).	

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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